



PATENT APPLICATION  
File No: 99-37

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Si Lok  
Serial No. : 09/842,746  
Group Art Unit : 1636  
Examiner : Katcheves, K.  
Filed : April 25, 2001  
For : METHODS FOR ENHANCING THE EXPRESSION OF A PROTEIN  
OF INTEREST BY RECOMBINANT HOST CELLS  
Date Submitted : April 19, 2004

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which they believe may be material to the examination of this application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. 1.56. Also included is a copy of the International Search Report.

In accordance with 37 C.F.R. 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been conducted, nor shall it be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

The references are listed in PTO form 1449 which is in accordance with the requirements of M.P.E.P. 609. Applicants believe that their invention as claimed is patentable over the references. It is respectfully requested that these references be considered by the Patent and Trademark Office in its examination of the above-identified application and be made of record therein. The Examiner is also invited to contact the Undersigned if there are any questions concerning this paper or the attached references.

Serial No. 09/842,746

Since this Information Disclosure Statement is submitted after the receipt of a Notice of Allowance, Applicants have included the fee of \$180.00 under 37 C.F.R. §§1.97(d) and 1.117(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 26-0290.

Respectfully submitted,

A handwritten signature in black ink, reading "Michelle L. Johnson". The signature is written in a cursive, flowing style.

Michelle L. Johnson  
Registration No. 36,352



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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

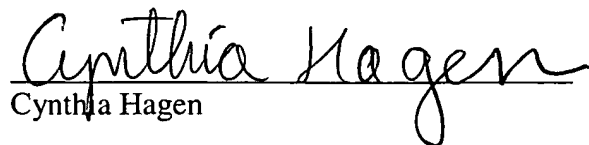
I hereby certify that the attached correspondence, comprising:

1. Information Disclosure Statement
2. PTO-1449 Form
3. 5 References
4. Search Report
4. Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on April 19, 2004.

  
Cynthia Hagen

**FORM PTO 1449**  
(REV 2-32)

**U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

File No. 99-37

Serial No.  
09/842,746

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Applicant(s):  
Si Lok

Filing Date:  
April 25, 2001

Group: 1636

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,238,820	8/24/93	Kaufman et al.	435	69.1	10/13/89

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)**

	A2		Ikeda et al., <u>Gene</u> 71(1):19-27, 1988.
	A3		Monaco et al., <u>Biotechnol. Appl. Biochem.</u> 20:157-171, 1994.
	A4		Asselbergs et al., <u>Analytical Biochem.</u> 243:285-288, 1996.
	A5		Takeshita et al., <u>Gene</u> 71(1):9-18, 1988.

Examiner

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.